

06/20/03
1835 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

2702P

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith for filing is a Patent Application claiming under 35 USC 119(e) the benefit of provisional patent application serial no. 60/389,956 filed June 20, 2002, in the name of Ka Shun Kevin FUNG, entitled Method and System for Improving the Liquidity of Transactions for PM Pools and Auctions, for a(n):

(X) Original Patent Application.
() Continuing Application (prior application not abandoned):
() Continuation () Divisional () Continuation-in-part (CIP)
of prior application No: _____ Filed on: _____

Enclosed are:

(X) Specification: 75 Total Pages.
(X) Drawing(s): 18 Total Sheets.
(X) Combined Declaration and Power of Attorney:
(X) Newly Executed (original or copy)
() Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
() Assignment Papers (cover sheet and document(s)).
() Nonpublication Request under 35 USC 122(b)(2)(B)(i).
(X) Information Disclosure Statement and Form PTO-1449. (X) Copies of (6) six IDS Citations.
(X) Return Receipt Postcard.
() Other:

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	57	37	\$9.00	\$ 333.00
Independent Claims	6	3	\$42.00	\$ 126.00
Multiple Dependent Claims (if applicable)				\$0.00
Basic Filing Fee				\$375.00
			Total Filing Fee	\$ 834.00

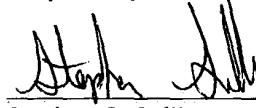
Payment of Fees:

Check no. 5842 in the amount of \$ 834.00 is enclosed for payment of Filing Fees(s).
 Charge \$ to Deposit Account (Acct Holder Name) for payment of Filing Fee(s).
 The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

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EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

06/24/2003 HTECKLU1 00000021 10600137

01 FC:2001	375.00 OP
02 FC:2202	333.00 OP
03 FC:2201	126.00 OP

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